



PATENT
Attorney Docket No. EMT-003
(120418/159594)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Schneur et al.
SERIAL NO.: 10/081,411 GROUP NO.: 3627
FILING DATE: February 20, 2002 EXAMINER: Lynda C. Jasmin
TITLE: Auction Management With Business Volume Discount

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SECOND INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with 37 C.F.R. 1.98(a)(2), copies of the non-patent publications (C6-C10) are enclosed. The Examiner is also directed to the file wrapper for co-pending application nos. 09/664,226, 09/999,670 and 10/831,969 for appropriate consideration.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

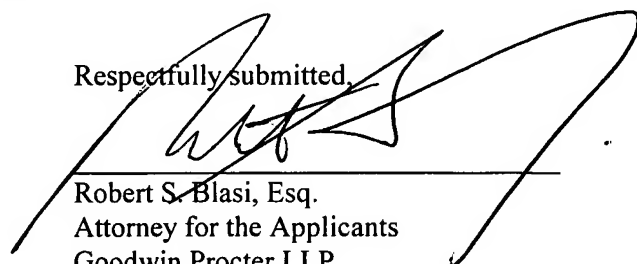
It is respectfully requested that each of the patents and publications listed on the attached Fourth Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

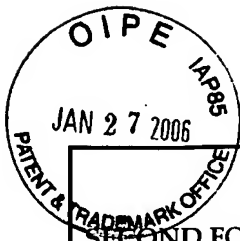


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SECOND FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: EMT-003

APPLICANTS: Schnuer et al.

APPLICATION NO. 10/081,411

FILING DATE: February 20, 2002

ART UNIT: 3627

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	6,058,379	05/2000	Odom et al.	705	37	
	A2	6,871,191	03/2005	Kinney et al.	705	37	
	A3	2001/0032170	10/2001	Sheth, Beerud D.	705	37	
	A4	2002/0143692	10/2002	Heimermann et al.	705	37	

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.		OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)
	C6	Deshpande, Kalyan K. Office Action for U.S. Patent Application No. 09/999,670, mailed 11/22/05, pp. 1-23.
	C7	Segev, Arie; Beam, Carrie; Shanthikumar, J. George; "Optimal Design of Internet-Based Auctions", Information Technology and Management, April 2001, pp. 121-163
	C8	Emiliani, M.L.; "Business-to-Business Online Auctions: Key Issues for Purchasing Process Improvement", Supply Chain Management, 2000, p. 176
	C9	Ba, Sulin; Stallaert, Jan; Whinston, Andrew; "Optimal Investment in Knowledge Within a Firm Using a Market Mechanism", Management Science, September 2001, pp. 1203-1219
	C10	Yannis, Bakos; "The Emerging Role of Electronic Marketplaces on the Internet", Association for Computing Machinery, August 1998, pp. 35-42

EXAMINER

DATE CONSIDERED